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PTO/SB/21(08/00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	<b>Application Number</b>	10/087,074	
	<b>Filing Date</b>	March 1, 2002	
	<b>First Named Inventor</b>	Walton et al.	
	<b>Group Art Unit</b>	1731	
	<b>Examiner Name</b>	Unknown	
<b>Total Number of Pages in This Submission</b>	5	<b>Attorney Docket Number</b>	DKT 10125

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>Request for Reconsideration of Petition Under 37 CFR 1.47(a)</b>
Remarks		

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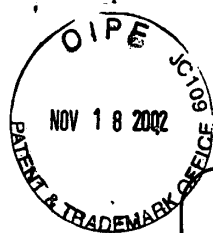
NOV 20 2002

OFFICE OF PETITIONS

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Gary A. Samuels, Esquire Registration No.: 20,811
Signature	
Date	November 12, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: November 12, 2002			
Typed or printed name	Darcy A. White		
Signature		Date	November 12, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 130.00

## Complete if Known

Application Number 10/087,074

Filing Date March 1, 2002

First Named Inventor Walton et al.

Examiner Name Unknown

Group / Art Unit 1731

Attorney Docket No. 10125US

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## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☒ Other ☐ None

☒ Deposit Account:

Deposit  
Account  
Number

08-1800

Deposit  
Account  
Name

Hercules, Inc.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20	=	18	=
Independent Claims	=	84	= 0
Multiple Dependent	=	280	=

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$00.00)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	130.00
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 130.00

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	Gary A. Samuels	Registration No. Attorney/Agent	20,811	Telephone	(302) 594-6813
Signature		Date	November __, 2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**UNITED STATES PATENT & TRADEMARK OFFICE**  
Washington, D.C. 20231

REQUEST FOR PATENT FEE REFUND				
1 Date of Request: <u>12/5/02</u>		2 Serial/Patent # <u>10/087,074</u>		
3 Please refund the following fee(s):		4 PAPER NUMBER	5 DATE FILED	6 AMOUNT
<input type="checkbox"/>	Filing			\$
<input type="checkbox"/>	Amendment			\$
<input type="checkbox"/>	Extension of Time			\$
<input type="checkbox"/>	Notice of Appeal/Appeal			\$
<input checked="" type="checkbox"/>	Petition	7	11/18/02	\$ 130
<input type="checkbox"/>	Issue			\$
<input type="checkbox"/>	Cert of Correction/Terminal Disc.			\$
<input type="checkbox"/>	Maintenance			\$
<input type="checkbox"/>	Assignment			\$
<input type="checkbox"/>	Other			\$
		7 TOTAL AMOUNT OF REFUND		\$ 130
		8 TO BE REFUNDED BY:		
10 REASON:		Treasury Check		
		<input checked="" type="checkbox"/> Credit Deposit A/C #: <span style="border: 1px solid black; padding: 2px;">08--1800</span>		
<input type="checkbox"/>	Overpayment			
<input checked="" type="checkbox"/>	Duplicate Payment			
<input type="checkbox"/>	No Fee Due (Explanation):			
11 REFUND REQUESTED BY: <u>C. T. Donnell</u>				
TYPED/PRINTED NAME: <u>C. T. Donnell</u>			TITLE: <u>Pet. Atty</u>	
SIGNATURE: <u>C. T. Donnell</u>			PHONE: <u>306-5589</u>	
OFFICE: <u>4700</u>				
***** THIS SPACE RESERVED FOR FINANCE USE ONLY: *****				
APPROVED: <u>[Signature]</u>			DATE: <u>12/6/02</u>	

Instructions for completion of this form appear on the back. After completion, attach white and yellow copies to the official file and mail or hand-carry to:

**Office of Finance  
Refund Branch  
Crystal Park One, Room 802B**

#7



**CERTIFICATE OF MAILING**

I Hereby Certify That This Correspondence Is Being Deposited  
With The United States Postal Service As First-Class Mail In An  
Envelope Addressed To: Assistant Commissioner Of Patents,  
Washington, D.C. 20231, On November 12, 2002

Nancy A. White  
Darcy A. White  
November 12, 2002  
Date

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventors: Cynthia D. Walton &  
Joseph F. Warhol

CASE NO: DKT 10125

APPLICATION NO: 10/087,074

GROUP ART UNIT: 1731

FILED: March 1, 2002

EXAMINER: UNKNOWN

FOR: RESINS ACTING AS WET  
STRENGTH AGENTS AND CREPING AIDS  
AND PROCESSES FOR PREPARING AND  
USING SAME

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OFFICE OF PETITIONS

**REQUEST FOR RECONSIDERATION OF PETITION UNDER 37 CFR**  
**1.47(a)**

Commissioner for Patents  
Box DAC  
Washington, D.C. 20231  
Attention: Senior Petitions Attorney Christina Tartera Donnell

Sir:

This is in response to the decision of the Office of Petitions mailed on  
September 12, 2002, dismissing Applicants' 37 CFR 1.47(a) petition. In light  
of the Statement of Facts contained herein below, please reconsider your  
decision dismissing Applicants' petition.

It is believed that a fee of \$130.00 is required for the filing of this  
document. If any additional fee is necessary to prevent abandonment of this  
application, then such fee is hereby authorized to be charged to our Deposit

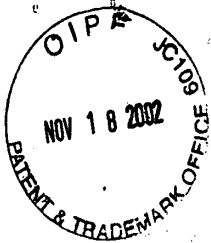
Account 08-1800.

Adjustment date: 12/06/2002 AKELLEY  
11/20/2002 AWONDAF1 00000075 081800 10087074  
01 FC:1460 130.00 CR

**STATEMENT OF FACTS**

This statement is made to rectify the deficiency noted by the Office of  
Petitions in Applicant's July 19, 2002 petition under 37 CFR 1.47(a). A person

11/20/2002 AWONDAF1 00000075 081800 10087074  
01 FC:1460 130.00 CH



**HERCULES**

Hercules Incorporated

Ref: SAMUELS-8/1106570001  
Dept:

Date: 240C 22 SHIPPING \$6.83  
Wgt: 0.65 LBS SPECIAL \$0.20  
HANDLING \$0.00

TOTAL \$7.03

SERVICE: STANDARD OVERNIGHT  
TRACK: 6128 8002 9822

Date Oct 24 2002

To: Mail Supervisor—Hercules Plaza, B121 NW

From: Gary Samuels

This is urgent mail. Dispatch by FEP-EX

(Service Requested)  
to Kenneth Sg PA  
(City) (State) (Country)

is requested.

1106570001/51020000  
(Charge Code)

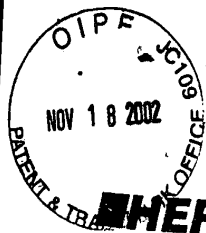
(Signature)

HER. 28782 (2 PT.) REV. 2-96

Distribution: White—Mail Supervisor; Yellow—Originator.

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NOV 20 2002  
OFFICE OF PETITIONS



**HERCULES**

Law Department

Sent by Fed-ex

Hercules Incorporated  
Hercules Plaza  
1313 North Market Street  
Wilmington, DE 19894-0001  
(302) 594-5000  
www.herc.com

Attorney's Direct Dial  
Telephone: (302) 594-6813  
Facsimile: (302) 594-6998

October 23, 2002

Ms. Cynthia Walton  
466 West Street  
Kennett Square, PA 19348

RE: "Resins Acting as Wet Strength Agents and Creping Aids  
and Processes for Preparing and Using the Same"  
Our Ref.: DKT 10125

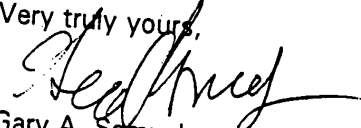
Dear Ms. Walton:

Following up my previous letters regarding the above-referenced case,  
you will find enclosed the Declaration and Assignment forms, as well as  
application DKT 10125 as filed in the USPTO.

We would appreciate it if you could sign the documents and return  
them to us after the assignment has been notarized. We, of course, will  
reimburse you for the cost of notarizing. Also, we have enclosed a post-paid  
envelope for you to return the executed documents.

Thanks so much for your prompt consideration in this matter.

Very truly yours,

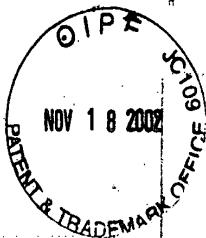
  
Gary A. Samuels  
Chief Counsel  
Intellectual Property

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NOV 20 2002

OFFICE OF PETITIONS

GAS:dal  
enclosures  
C:\deb\waltongary6



Ref: LINDINGER-8/1106570001  
Dept:

Date: 20SEP02 SHIPPING \$6.99  
Wgt: 0.65 LBS SPECIAL \$0.17  
HANDLING \$0.00

TOTAL \$7.16

SERVICE: STANDARD OVERNIGHT  
TRACK: 6128 8002 6249

Date 9/26/02

To: Mail Supervisor—Hercules Plaza, B121 NW

From: Mary Samuels

This is urgent mail. Dispatch by Fed exp next day

to Kennett Square OH  
(City) (State) (Country)

is requested.

11065700016510300000  
(Charge Code)

Deborah Lindinger  
(Signature)

HER. 28782 (2 PT.) REV. 2-96\*

Distribution: White—Mail Supervisor; Yellow—Originator.

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**HERCULES**



Law Department

Sent by Fed-ex

Hercules Incorporated  
Hercules Plaza  
1313 North Market Street  
Wilmington, DE 19894-0001  
(302) 594-5000  
www.herc.com

Attorney's Direct Dial

Telephone: (302) 594-6813

Facsimile: (302) 594-6998

September-20, 2002

Ms. Cynthia Walton  
466 West Street  
Kennett Square, PA 19348

RE: "Resins Acting as Wet Strength Agents and Creping Aids  
and Processes for Preparing and Using the Same"  
Our Ref.: DKT 10125

Dear Ms. Walton:

Following up my previous letters regarding the above-referenced case,  
you will find enclosed the Declaration and Assignment forms, as well as  
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We would appreciate it if you could sign the documents and return  
them to us after the assignment has been notarized. We, of course, will  
reimburse you for the cost of notarizing. Also, we have enclosed a post-paid  
envelope for you to return the executed documents.

Thanks so much for your prompt consideration in this matter.

Very truly yours,

Gary A. Samuels  
Chief Counsel  
Intellectual Property

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enclosures  
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Creation date: 09-12-2003  
Indexing Officer: KNANCE - KERMIT NANCE  
Team: OIPEBackFileIndexing  
Dossier: 10087074

Legal Date: 12-06-2002

No.	Doccode	Number of pages
1	PETDEC	1
2	LET.	1

Total number of pages: 2

Remarks:

Order of re-scan issued on .....